

# **MSCI REGULATORY LINKS NAVIGATOR**

MSCI

June 2020

## Purpose

The purpose of this document is to set out the information and web page links that navigate to different regulatory related queries in relation to MSCI.

## Table

Category of Information	Information or link where to find the information	
<b>EU BENCHMARK REGULATION</b>		
<b>MSCI's benchmark administrator name/address</b>	MSCI Limited Ten Bishops Square 9 <sup>th</sup> Floor London, E1 6EG United Kingdom	
<b>Legal Entity Identifier (LEI)</b>	213800Z7VGS1VV869U38	
<b>Country of authorization under the EU benchmark regulation</b>	United Kingdom	
<b>National competent authority</b>	UK Financial Conduct Authority (FCA)	
<b>EU/EEA Status</b>	Authorisation under Article 34 of the EU benchmark regulation	
<b>Registers where MSCI Limited is listed as an authorized benchmark administrator</b>	EU/EEA: <a href="#">ESMA Register</a> UK: <a href="#">FCA Register</a>	
<b>Benchmark families</b>	MSCI equity benchmark family MSCI blended benchmark family MSCI fixed income benchmark family MSCI property fund (with published NAV) family MSCI property benchmark family	
<b>MSCI EU regulated benchmarks</b>	MSCI equity benchmark family	See tab titled <i>EU Benchmark Regulation</i> under the heading <i>General</i> <a href="https://www.msci.com/index-regulation">https://www.msci.com/index-regulation</a>
	MSCI blended benchmark family	See tab titled <i>EU Benchmark Regulation</i> under the heading <i>General</i> <a href="https://www.msci.com/index-regulation">https://www.msci.com/index-regulation</a>
	MSCI fixed income benchmark family	See tab titled <i>EU Benchmark Regulation</i> under the heading <i>General</i> <a href="https://www.msci.com/index-regulation">https://www.msci.com/index-regulation</a>
	MSCI property fund (with published NAV) family	See the <a href="#">MSCI Real Estate - BMR Benchmarks Methodology Specifications</a> under the tab titled <i>EU Benchmark Regulation</i> under the heading <i>General</i> <a href="https://www.msci.com/index-regulation">https://www.msci.com/index-regulation</a>
	MSCI property benchmark family	See the <a href="#">MSCI Real Estate - BMR Benchmarks Methodology Specifications</a> under the tab titled <i>EU Benchmark Regulation</i> under the heading <i>General</i> <a href="https://www.msci.com/index-regulation">https://www.msci.com/index-regulation</a>

Category of Information	Information or link where to find the information	
Information about excluded indexes	See tab titled <i>EU Benchmark Regulation</i> under the heading <i>General</i> <a href="https://www.msci.com/index-regulation">https://www.msci.com/index-regulation</a> , the specific document being <a href="#">Information related to MSCI Limited's authorization</a>	
List of Benchmarks	See tab titled <i>EU Benchmark Regulation</i> under the heading <i>General</i> , and then the heading <i>List of Benchmarks</i> <a href="https://www.msci.com/index-regulation">https://www.msci.com/index-regulation</a>	
Benchmark statements	See tab titled <i>EU Benchmark Regulation</i> under the heading <i>General</i> , and then the heading <i>Benchmark Statements</i> <a href="https://www.msci.com/index-regulation">https://www.msci.com/index-regulation</a>	
Compliance Statements	Only applicable to the MSCI property benchmark family: See tab titled <i>EU Benchmark Regulation</i> under the heading <i>General</i> <a href="https://www.msci.com/index-regulation">https://www.msci.com/index-regulation</a> , the specific document being <a href="#">Information related to MSCI Limited's authorization</a>	
ESG Disclosures	See tab titled <i>EU Benchmark Regulation</i> under the heading <i>General</i> , and then the heading <i>ESG Disclosures</i> <a href="https://www.msci.com/index-regulation">https://www.msci.com/index-regulation</a>	
<b>INDEX GOVERNANCE, POLICIES, PROCEDURES</b>		
Oversight and Governance Committees Terms of Reference	See tab titled <i>Index, Governance, Policies and Procedures</i> under the heading <i>MSCI Oversight and Governance Committees</i> <a href="https://www.msci.com/index-regulation">https://www.msci.com/index-regulation</a>	
Statement on How MSCI Manages Conflicts of Interest	See tab titled <i>Index, Governance, Policies and Procedures</i> under the heading <i>How MSCI Manages Conflicts of Interest</i> <a href="https://www.msci.com/index-regulation">https://www.msci.com/index-regulation</a>	
Data Provider Code of Conduct	Only applicable to the MSCI property benchmark family (and MSCI also applies it to MSCI property fund (with published NAV) family): See tab titled <i>Index, Governance, Policies and Procedures</i> under the heading <i>MSCI Real Estate Data Provider Code of Conduct</i> <a href="https://www.msci.com/index-regulation">https://www.msci.com/index-regulation</a>	
Formal Index Complaints Procedure	See tab titled <i>Formal Index Complaints</i> <a href="https://www.msci.com/index-regulation">https://www.msci.com/index-regulation</a>	
<b>INDEX INFORMATION</b>		
Index methodologies	MSCI equity benchmark family	<a href="https://www.msci.com/index-methodology">https://www.msci.com/index-methodology</a>
	MSCI blended benchmark family	<a href="https://www.msci.com/index-methodology">https://www.msci.com/index-methodology</a>
	MSCI fixed income benchmark family	<a href="https://www.msci.com/index-methodology">https://www.msci.com/index-methodology</a>

Category of Information	Information or link where to find the information
	MSCI property fund (with published NAV) family <a href="https://www.msci.com/real-estate-methodology">https://www.msci.com/real-estate-methodology</a>
	MSCI property benchmark family <a href="https://www.msci.com/real-estate-methodology">https://www.msci.com/real-estate-methodology</a>
<b>Index consultation and termination policies</b>	MSCI equity benchmark family Index Policies document <a href="https://www.msci.com/index-methodology">https://www.msci.com/index-methodology</a>
	MSCI blended benchmark family Index Policies document <a href="https://www.msci.com/index-methodology">https://www.msci.com/index-methodology</a>
	MSCI fixed income benchmark family Index Policies document <a href="https://www.msci.com/index-methodology">https://www.msci.com/index-methodology</a>
	MSCI property fund (with published NAV) family Index Policies document <a href="https://www.msci.com/real-estate-methodology">https://www.msci.com/real-estate-methodology</a>
	MSCI property benchmark family Index Policies document <a href="https://www.msci.com/real-estate-methodology">https://www.msci.com/real-estate-methodology</a>
<b>OTHER</b>	
<b>Information about Financial Index eligibility under the ESMA Guidelines for ETFs and other UCITS Issue</b>	<a href="https://msci.com/constituents">https://msci.com/constituents</a>
<b>Information about qualified indexes under US IRS 871(m)</b>	See tab titled <i>United States IRS 871(m) Regulations Relating to the Definition of a "Qualified Index" Benchmark Regulation</i> under the heading <i>General</i> <a href="https://www.msci.com/index-regulation">https://www.msci.com/index-regulation</a>

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\* = toll free

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